



7/15/04

PATENT
Attorney Docket No. ASA 02-4-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : A.L. Pepper Aasgaard
Serial Number : 10/719,748
Filed : November 21, 2003
Group Art Unit : 3723
Examiner : David B. Thomas
Title : RIVET SETTING DEVICE FOR SETTING SELF-TAPPING RIVETS

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. §1.97(c))**

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on July 12, 2004, in a First Class envelope, with sufficient postage thereon, addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michele D. Norine

Michele D. Norine

DATED: July 12, 2004

**Identification of Time of Filing the Accompanying
Information Disclosure Statement**

The *Information Disclosure Statement* transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

Method of Payment of Fees

Accompanying this transmittal is the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement. Please charge the filing fee of **\$180.00** to Deposit Account Nº 19-4882. Please charge any underpayments related to this *Transmittal* or credit any excess to Deposit Account Nº 19-4882. A duplicate copy of this *Transmittal* is enclosed for accounting purposes only.

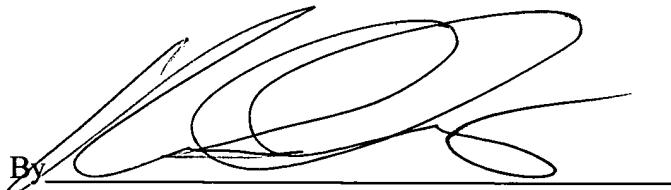
Please address all correspondence to: **CUSTOMER NO. 23531**

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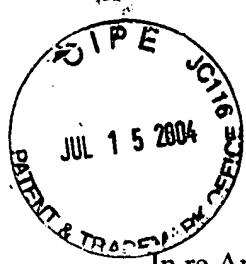
DATED: July 12, 2004.

Respectfully submitted,

A.L. Pepper Aasgaard,



By _____
Kevin E. West
Reg. Nº 43,983



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
A.L. Pepper Aasgaard

: By the Examiner:
David B. Thomas

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Michele D. Norine

DATED: July 12, 2004

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as

defined in 37 CFR 1.56(a) exists.

In accordance with 37 C.F.R. § 1.97(c)(1) & 37 C.F.R. § 1.97(e)(1) Applicant states: That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this supplemental information disclosure statement.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

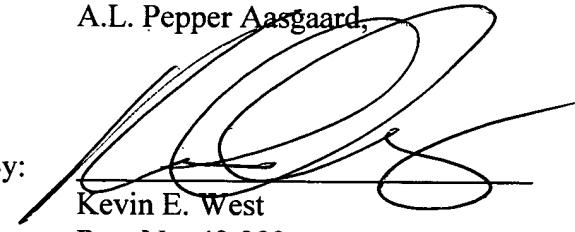
DATED: July 12, 2004

Respectfully submitted,

A.L. Pepper Aasgaard,

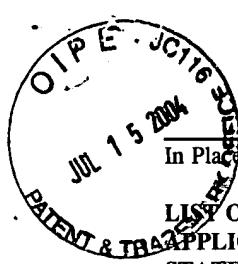
By:

Kevin E. West
Reg. No. 43,983

A handwritten signature in black ink, appearing to read "A.L. Pepper Aasgaard" above "Kevin E. West" and "Reg. No. 43,983". The signature is fluid and cursive.

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In Place of FORM PTO-1449 (Modified)

Serial No.: 10/719,748

Applicant: A.L. Pepper Aasgaard

Filing Date: November 21, 2003

Group: 3723

Atty. Docket No.: ASA 02-4-2

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	4,085,337	Apr. 18, 1978	Moeller	307	115	Oct. 7, 1975
ABA	4,559,806	Dec. 24, 1985	Schwab	72	391	Sep. 1, 1983
ACA	5,473,805	Dec. 12, 1995	Wille	29	243.526	Jan. 6, 1994
ADA	5,960,667	Oct. 5, 1999	Hylwa et al.	72	391.4	Dec. 23, 1997
AEA	6,684,470	Feb. 3, 2004	Joux	29	243.521	May 11, 1999
AF						
AGA						
AHA						
AIA						
AJA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
AKA						
ALA						
AMA						
ANA						
AOA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

APA
AQA
ARA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether nor not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.